

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P. O. Box 1469
Alexandria, Pirginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/729,621	12/05/2003	John J. Thrall	5598/151US	2930
7590 03/24/2006			EXAMINER	
Seth Ostrow			LEWIS, ALICIA M	
Brown Raysmar	Millstein Felder and Sto	einer LLP		
900 Third Avenue			ART UNIT	PAPER NUMBER
New York, NY 10022-4728			2164	
			DATE MAILED: 03/24/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/729,621	THRALL, JOHN J.				
Office Action Summary	Examiner	Art Unit				
	Alicia M. Lewis	2164				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period was precised to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	I. hely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>05 De</u>	ecember 2003					
, ,	action is non-final.					
,=	,—					
,—	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>1-25</u> is/are pending in the application.						
	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
, <u> </u>						
, —	☐ Claim(s) 1-25 is/are rejected.					
	Claim(s) is/are objected to. Claim(s) are subject to restriction and/or election requirement.					
or claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10)⊠ The drawing(s) filed on <u>05 December 2003</u> is/are: a) accepted or b)⊠ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> <li>3. Copies of the certified copies of the priority application from the International Bureau</li> <li>* See the attached detailed Office action for a list</li> </ul>	s have been received. s have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage				
Attachment(s)						
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)  6) Other:						
· · · · · · · · · · · · · · · · · · ·	, <del></del> <del></del>					

Application/Control Number: 10/729,621 Page 2

Art Unit: 2164

#### **DETAILED ACTION**

### **Drawings**

1. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: element 330 in Figure 3, elements 430 and 440 in Figure 4, element 500 in Figure 5, elements 600, 610 and 620 in Figure 6, element 700 in Figure 7, and element 800 in Figure 8. Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Application/Control Number: 10/729,621

Art Unit: 2164

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claim 1, 8, 10-11,13-16, 18-19, 21, 23 and 25 are rejected under 35
U.S.C. 102(e) as being anticipated by Wang et al. (US Patent Application Publication 2005/0071255 A1) ('Wang').

With respect to claims 1, 19 and 25, Wang teaches:

tracking clicks by users on data returned in a search result in response to a query (element 126 in Figure 1, paragraph 27 lines 3-5, paragraph 28 lines 1-4); and

determining a user preference for a clicked data in accordance with a physical position of the clicked data in the search result (paragraphs 26, 30, 34).

With respect to claims 8 and 21, Wang teaches wherein determining a user preference for a clicked data in accordance with a physical position of the data in the search result is performed in accordance with weight values determined by observed user click behavior (paragraph 28).

With respect to claim 10, Wang teaches wherein tracking clicks by users includes tracking: a query, a data fingerprint, and a position in the search results for a click on data from a search result for a specific query (paragraph 29).

Application/Control Number: 10/729,621

Art Unit: 2164

With respect to claim 11, Wang teaches wherein tracking clicks by users further includes tracking: a time the click occurred and user ID information (paragraph 29).

Because there are multiple users of the system, it is implied that user ID information is tracked (paragraph 28 lines 1-3).

. With respect to claim 13, Wang teaches further including normalizing the click information before the determining step (paragraph 33 lines 1-2).

With respect to claim 14 and 23, Wang teaches wherein the data is image data (paragraph 27 lines 9-10).

With respect to claim 15, Wang teaches wherein the data is shopping data (paragraph 27 lines 7-8).

With respect to claim 16, Wang teaches wherein the data is textual data (paragraph 27 lines 9-10).

With respect to claim 18, Wang teaches wherein determining a user preference includes determining context dependent user preference scores in accordance with a characteristic of the users clicking on the search results (paragraph 35).

Application/Control Number: 10/729,621 Page 5

Art Unit: 2164

# Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claim 2-7, 9, 12, 17, 20, 22 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al. (US Patent Application Publication 2005/0071255 A1) ('Wang') in view of Golding et al. (US Patent 6,640,218 B1) ('Golding').

With respect to claims 2 and 20, Wang teaches claims 1 and 19, wherein determining a user preference for a clicked data is further performed in accordance with users' click information on the data returned in the search result (paragraph 31 lines 6-9, paragraph 37 lines 1-3).

Wang does not explicitly teach that the click information is a number of clicks.

Golding teaches estimating the usefulness of an item in a collection of information (see abstract) in which he teaches wherein determining a user preference for a clicked data is further performed in accordance with a number of clicks made by users on the data returned in the search result (column 2 lines 24-26, column 3 lines 1-2).

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to have modified Wang by the teaching of Golding because wherein determining a user preference for a clicked data is further performed in

Art Unit: 2164

accordance with a number of clicks made by users on the data returned in the search result would enable a method to determine popularity measures of sets of criteria and to determine the overall popularity of an item (column 2 lines 60-64).

Page 6

With respect to claim 3, Wang as modified teaches wherein determining a user preference for a clicked data is performed by determining a ratio of actual clicks to clicks expected for the clicked data and a specific query (Golding, Figure 5, column 2 lines 39-44, column 8 line 39 – column 9 line 47).

With respect to claim 4, Wang as modified teaches wherein determining a user preference is performed periodically (Wang, paragraph 31; Golding, column 10 lines 41-44).

With respect to claim 5, Wang as modified teaches wherein determining a user preference is performed weekly (Golding, column 11 lines 53-60).

With respect to claim 6, Wang as modified teaches wherein determining a user preference is performed in real time (Golding, column 11 line 65 – column 12 line 12).

With respect to claim 7, Wang as modified teaches further comprising determining values in a weight table based on user preferences for physical positions

within search results independent of a query (Wang, paragraphs 33-34, page 8 claim 4; Golding, column 4 lines 47-53, column 8 lines 61-62).

Golding teaches a relevance metric (weight) based on user preferences and Wang teaches adjusting a score to account for physical positions within search results.

With respect to claim 9, Wang as modified teaches wherein determining a user preference for a clicked data in accordance with a physical position of the data in the search result is performed in accordance with weight values determined by trial and error (Golding, column 7 lines 4-20).

With respect to claims 12 and 22, Wang as modified teaches wherein determining a user preference further includes weighting click information so that clicks by users on data in unpopular positions in the search results migrate that data toward the top of future search results (Golding, column 7 lines 16-20).

With respect to claim 17, Wang as modified teaches further comprising using the determined user preference to determine rankings for display of future search results (Golding, column 2 lines 48-52).

With respect to claim 24, Wang as modified teaches wherein determining a user preference uses a plurality of weight tables corresponding to ones of a plurality of user

Application/Control Number: 10/729,621 Page 8

Art Unit: 2164

interfaces displaying the search result (Golding, column 3 lines 34-38, column 8 lines 64-67).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alicia M. Lewis whose telephone number is 571-272-5599. The examiner can normally be reached on Monday - Friday, 9 - 6:30, alternate Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Rones can be reached on 571-272-4085. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Alicia Lewis March 9, 2006 SAM RIMELL PhariaRY EXAMINER